BMJ Open Persistent opioid use and opioid-related harm after hospital admissions for surgery and trauma in New Zealand: a population-based cohort study

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ABSTRACT

Introduction Opioid use has increased globally for the management of chronic non-cancer-related pain. There are concerns regarding the misuse of opioids leading to persistent opioid use and subsequent hospitalisation and deaths in developed countries. Hospital admissions related to surgery or trauma have been identified as contributing to the increasing opioid use internationally. There are minimal data on persistent opioid use and poioid-related harm in New Zealand (NZ), and how hospital admission for surgery or trauma contributes to this. We aim to describe rates and identify predictors of persistent opioid use among opioid-naïve individuals following hospital discharge for surgery or trauma.

Methods and analysis This is a population-based, retrospective cohort study using linked data from national health administrative databases for opioid-naïve patients who have had surgery or trauma in NZ between January 2006 and December 2019. Linked data will be used to identify variables of interest including all types of hospital surgeries in NZ, all trauma hospital admissions, opioid dispensing, comorbidities and sociodemographic variables. The primary outcome of this study will be the prevalence of persistent opioid use. Secondary outcomes will include mortality, opioid-related harms and hospitalisation. We will compare the secondary outcomes between persistent and non-persistent opioid user groups. To compute rates, we will divide the total number of outcome events by total follow-up time. Multivariable logistic regression will be used to identify predictors of persistent opioid use. Multivariable Cox regression models will be used to estimate the risk of opioid-related harms and hospitalisation as well as allcause mortality among the study cohort in a year following hospital discharge for surgery or trauma.

Ethics and dissemination This study has been approved by the Auckland Health Research Ethics Committee (AHREC- AH1159). Results will be reported in accordance with the Reporting of studies Conducted using Observational Routinely collected health data statement (RECORD).

BACKGROUND

Opioids are widely prescribed in hospitals for acute, chronic non-cancer-related and

Strengths and limitations of this study

- This will be the first study in New Zealand to examine the relationship between hospital admission for surgery or trauma and the incidence of persistent opioid use.
- The study will include 14 years of opioid usage data, making this one of the largest opioid usage studies to date (2006–2019).
- A limitation of the study lies in its use of retrospective secondary data, which has limited control over confounders.

cancer-related pain. However, in the last decade, opioid addiction and dependency have contributed substantially towards hospitalisation and death globally, especially in the USA.¹ Mortality related to opioid overdose accounts for nearly 530 deaths per week in the USA.¹ In New Zealand (NZ), a study in 2017 showed from 2008 to 2012, a total of 325 deaths were primarily ascribed to opioid use.² Of these, 179 deaths were from unintentional overdose and may be preventable.² No studies have examined recent trends in NZ. In NZ, five strong opioids and a total of 33 different formulations are licensed for prescribing in pain management: 14 for morphine, 9 for oxycodone, 5 for fentanyl, 4 for methadone and 1 for pethidine. Three weak opioids and a total of 9 different formulations are available for prescribing: 5 for tramadol, 3 for codeine and 1 for dihydrocodeine.

The NZ Health Quality & Safety Commission (HQSC) in 2016³ reports that opioid use has increased in NZ with 16.6 per 1000 people compared with 14.4 per 1000 in 2011. The rate of persistent opioid use, defined by the HQSC as use of 6weeks or longer, has not changed between 2011 and 2016 and is around 2 per 1000 patients. The report

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Correspondence to Dr Amy Hai Yan Chan; a.chan@auckland.ac.nz also showed that almost half of the patients dispensed a strong opioid had a hospital admission in the week prior to opioid prescribing in the community.

Internationally, persistent opioid use is generally defined as opioid use for 90 days or longer.⁴ Studies from the USA and Canada have indicated the rate of persistent opioid use beyond 90 days to be between 0.1% and 8.2% in the context of pain management after surgery and trauma.⁵ In the acute setting, higher rates of opioid prescribing by emergency physicians has also been linked to subsequent long-term opioid use in the USA (OR 1.30,95% CI 1.23, 1.37, p<0.001).⁶

Hospital admissions may act as drivers for subsequent persistent opioid use in the community setting. NZ data show that younger people (under 24 years of age) were more likely to have a hospital admission prior to the dispensing of a strong opioid than older people (80 years and over)-rates opioid dispensing were 7 per 10 people in younger people versus 3.7 per 10 in those above $80.^3$ This usage rate may be due to clinicians' perception that opioids are generally safer in younger patients because they are more likely to have normal metabolic functions. However, studies have suggested that addictive behaviour tends to be over-represented in younger patients (18-29 years old),⁷⁸ mainly concerning other substances of abuse such as cannabis and cocaine. Our study will examine persistent opioid use and opioid-related harm across all age groups, to describe how these rates may change across ages.

Outcomes related to persistent opioid use have also been poorly evaluated both in NZ and elsewhere. A recent study published by a Swedish group showed that post-trauma patients with persistent opioid use carry an excess risk of mortality, even after adjustment for age, sex, somatic comorbidity, psychiatric-morbidity, substance abuse, injury severity and admissions to intensive care (HR 1.82, 95% CI 1.34 to 2.48, p<0.001).⁹ There are few mortality data in NZ related to persistent opioid use, despite the presence of national databases recording health outcomes.

In NZ, the opportunity for population-based cohort studies is unique in several ways. First, NZ has a single universal healthcare system; the government covers 80% of the cost of healthcare. All NZ citizens, permanent residents and certain work visa holders have access to free or low-cost physician services, hospital care and prescription medicines.¹⁰ Physician services are private businesses that set their consultation fee, but the fees are set by the government and cannot exceed a certain limit. Patients enrolled in a specific physician service will have a lower cost per visit, as the government would partially fund the visit.¹⁰ Most physician services are free for patients 13 years or under. Most prescription medicines are fully funded by the government, with the patient having to copay only a dispensing fee of NZ\$5 per item to the pharmacy.¹¹ Second, all patients accessing the health and disability system will be assigned a unique identifier code, in both private and public funded hospitals, called the National

Health Index (NHI) number.¹² The Ministry of Health (MOH) uses the NHI number in an encrypted form so that data can be used for statistical purposes to report on the health of the NZ population.¹² This then allows for linkage of data across different datasets for research, for example, hospital admissions, medication dispensing and mortality can be linked. Third, it is possible to track all admissions to public and private hospitals. This permits the calculation of an emerging measure called days alive and out of hospital (DAOH). DAOH is a composite outcome that integrates several clinically significant outcomes, including mortality, hospital length of stay and hospital readmission.¹³ It is sensitive to any complication of surgery that prolongs an admission, leads to a readmission or results in death. Fourth, data on ethnicity are routinely collected by the MOH. NZ has a large indigenous population (Māori) who experience substantial disadvantages in health status and outcomes compared with the non-Māori population.¹⁴ A recent study assessing the use of non-opioid analgesia between ethnicities in NZ showed a significant disparity in outcome between Māori and non-Māori patients, with Māori patients having more in adverse events, with a relative risk 2.54 (95% CI 2.23 to 2.90).¹⁵ The likely risk of persistent opioid use and subsequent opioid-related harm in NZ may also be distributed unequally across ethnic groups. The NZ healthcare system is obligated to address these inequities under the protection principle of the Treaty of Waitangi.¹⁶

Thus, we aim to determine the patterns of opioid use and opioid-related harm in NZ following discharge from hospital for surgery or trauma over 14 years from 1 January 2006 to 31 December 2019. Specifically, in this study, we aim to determine the rates of persistent opioid use post-discharge from the hospital and evaluate outcomes including opioid dependence, opioid-related overdose, opioid-related hospitalisation and mortality. We will also measure DAOH at 365 days (DAOH₃₆₅), as an outcome that will be used for measuring the quality of perioperative care and postoperative recovery.¹³ We will also identify the predictors of these outcomes.

METHODS AND ANALYSIS Study design and setting

This will be a population-based retrospective cohort study involving residents of NZ using linked, routinely collected health data. We plan to start data collection and analysis by 1 March 2021 and complete the study within 12 months.

Sources of data

The study will use several national administrative health databases, which can be linked via an encrypted, unique patient identifier known as the NHI.¹² To examine hospitalisations data, we will use the National Minimum Data Set (NMDS).¹⁷ The NMDS is a national registry of all inpatient admissions reported to the MOH. The NMDS includes information on dates of hospital stay,

diagnoses and medical procedures. All medical procedures are coded according to the Australasian Classification of Health Interventions (ACHI).¹⁸ In NMDS, primary and secondary diagnoses are coded according to the International classification of diseases 10th edition-Australian modified version (ICD-10AM). The NMDS captures 99% of all operations performed in NZ.¹⁹ The National Non-Admitted Patients Collection (NNPAC) is the national database used to capture information on patients who presented to the emergency department and spent less than 3 hours in a hospital. This database will be linked with data from the Accident Compensation Corporation (ACC) to identify patients admitted to an NZ hospital with trauma but did not require prolonged hospital admission.²⁰ ACC is NZ's Crown entity responsible for administering the country's no-fault accidental injury compensation scheme.²¹ The Mortality Collection (MORT) is a national database that classifies the underlying cause of death for all deaths registered in NZ. MORT uses the ICD-10AM classification for mortality coding.²² Mortality-related outcomes will be retrieved from this database.

Data on opioid dispensing and other medicines prescribed to patients receiving opioids will be obtained from the Pharmaceutical Collection (Pharms). Pharms is a data warehouse of information related to pharmaceutical subsidies and contains claim and payment information from pharmacists for subsidised dispensing.²³ The Pharms database contains information on all prescriptions dispensed by community pharmacies in NZ since 1998, according to local codes akin to the Anatomical Therapeutic Chemical classification system codes. The database includes medication name, quantity, days of supply, formulation, date of refill, route of administration and codes identifying the therapeutic category of the medication. Medicines dispensed in hospitals are not included in the database. Patients meeting the inclusion criteria will be determined from the NMDS and NNPAC plus ACC then matched with their respective opioid dispensing data from Pharms via their respective encrypted NHI.

Other databases that we will access include the NHI and Primary Health Organisation enrolment. These datasets will be used to retrieve information relevant to the patient, including demographic, gender, age, ethnicity and socialeconomic status.²⁴

Study cohort

The study design is illustrated in figure 1.²⁵ The study population includes all opioid-naïve patients (of any age) who had a surgical procedure or presented to the hospital with trauma in one of NZ's 39 public hospitals between 1 January 2006 and 31 December 2019. Surgical patients will be defined as all individuals in the NMDS assigned a surgical procedure and associated anaesthetic code via the ACHI. Trauma patients with a hospital stay of greater than 3 hours will be identified using ICD-10AM codes for trauma in the NMDS dataset. Individuals with less than 3 hours of admission will only be registered on NNPAC. Thus their data will likely require linkage of ACC database via ACC injury codes and claimant number to obtain event details.

The date of hospital discharge following the initial surgical or traumatic event and exposed to opioids will be considered as the index date. For patients with more than one admission for surgical procedures or trauma during the study period, only the first admission will be included in the study cohort. Individuals will be considered opioid-naïve if they do not have dispensing records for any opioid medications in 180 days before the index date. We will exclude the following types of patients: (1) those who have evidence of a surgical procedure or traumatic event in 365 days prior to the index date and (2) those with evidence of opioid use disorders (eg, overdose, misuse or dependence) or opioid prescriptions in 180 days prior to the index date. Patients who underwent multiple procedures in 24 hours will be examined separately as their likely risk of persistent opioid use may be different from other patients.

We will follow up all patients who meet the study inclusion criteria for a maximum of 365 days, or until subsequent hospital admission for surgery or trauma, the last opioid dispensing claims date, occurrence of the outcomes of interest, or death from any cause, whichever comes first—see figure 1 for study design.

Postoperative prescribing of opioids is generally guided by the Australian and New Zealand College of Anaesthetists guidelines.²⁶ Multimodal analgesia is used instead of mainly opioid-based analgesia to improve pain control and reduce opioid consumption and adverse effects.²⁶

See online supplemental material 1 for surgical procedures and ICD-10AM codes for trauma-related admissions

Outcome assessment

The primary outcome will be persistent use of opioids after trauma or surgery. As in previous studies of opioid use,^{27 28} persistent opioid use will be defined as continuous pharmacy claim for one or more opioid prescription 91 days to 365 days after the index date. Patients who continuously filled one or more opioid prescriptions within 90 days after the index date but did not thereafter will be considered as non-persistent opioid users. Characteristics of persistent and non-persistent opioid users will be compared with identify predictors of persistent opioid use. Our secondary outcomes will include total duration of persistent opioid use from the index date, opioid-related fatal overdose, all-cause mortality, opioid dependency, opioid misuse and hospitalisation related to opioid overdose and toxicity during the follow-up period. We will also measure $DAOH_{365}$.

Mortality due to opioid overdose will be defined as the presence of a date of death and opioid overdose as the cause of death as recorded in the MORT database via ICD-10AM codes. These episodes of related harm will be identified during patients' subsequent admission after the index date.



- F10.29, F17.200-F17.299), substance use (F10-F16, F18-F19, F55), mood disorder (F30-F39), other mental health conditions (F04-F09, F51-F53, F59, F63, F68, F69, F930-F932, F99), other medications (paracetamol, NSAIDs, pregabalin, gabapentin, benzodiazepines, antipsychotics, antidepressants) and presence of chronic pain
- c. Earliest outcome of interest: persistent opioid beyond 90 days
- d. Secondary outcome at 365 days: total duration of persistent opioid use from an index date, opioid-related fatal overdose, allcause mortality, opioid dependency, opioid misuse, and hospital admission related to opioid overdose and toxicity during the follow up period. M31 = M3 Comprisidity Index



See the online supplemental material 1 for secondary outcome-related ICD-10AM.

Opioid exposure assessment

The primary exposure in this study is the receipt of a new opioid prescription after a surgical or traumatic event. All patients who filled at least one opioid prescription within 7 days of discharge will be considered exposed to prescription opioids and will be included in the study cohort. The 7-day grace period assumes that an opioid prescription filled by an opioid-naïve individual during this period is likely to be used to treat acute pain after surgery or trauma, and any opioid use after 7 days is unlikely to be related to the surgery or trauma event. Opioid use will be assessed by date of dispensing, dose, frequency, days or quantity supplied, and frequency (where available) of dispensing to infer the duration of use.

Of the different opioid formulations available in NZ, only topical (fentanyl only) and oral preparations will be included. Patients discharged with parenteral products will be excluded. The indication for parenteral opioids is unlikely related to postoperative pain management but more likely for other indications such as palliation.

To account for patients being on different strong opioids with variable potency, patients daily opioid dose will be standardised by converting the prescribed dose to oral morphine equivalence (OME) in milligrams to account for overall opioid exposures relative to morphine, per formula below—see online supplemental material 1 for OME conversion factors:^{29 30}

 $OME = PackStrength \times OME conversion factor \times Quantity dispensed$

That is, if 30 capsules of oxycodone 5 mg were dispensed, this would equate to an OME of 225 mg.

Cessation of opioid use will be defined as a pause of medication supply for more than 45 days from the last dispensing. This is because the maximum legal period of supply for opioids in NZ is 30 days. To account for any leftover of supply a further 15 days will be included.

Covariates of persistent opioid use and opioid-related harm

We will aim to identify predictors of persistent opioid use and other secondary outcomes of interest, including opioid-related mortality. Various predictors have been investigated previously, including age, type of surgery, length of stay for the index surgery or trauma event, surgical specialty (cardiac, vascular, digestive, thoracic, neurosurgery, musculoskeletal, urinary and other), multiple surgeries in 1 hour, complexity and urgency of surgery.^{31 32} These will be collected via the NMDS in addition to ethnicity, gender, socioeconomic status, social deprivation score (via NZ Deprivation Index-NZDep).³³ The NZDep is an area-based measure of socioeconomic deprivation in NZ. The urgency of the surgery will be categorised as elective or acute. The operative complexity will be categorised using the Johns Hopkins five-category classification, where each procedure will be given an operation severity grade from one to five.³⁴ The method of identifying all procedures performed in NZ hospitals is consistent with a previously published paper involving current coinvestigators on postoperative mortality risk.¹

Other comorbidities will be collected that have been shown in the literature to be predictors of persistent opioid use 5^{31} such as depression (ICD-10AM codes: F32– F33), anxiety (F40-F48), dementia (F00-F03), schizophrenia and related psychotic conditions (F20-F29), bipolar disorder (F31), alcohol and nicotine dependence (F10.20-F10.29, F17.200-F17.299), substance use (F10-F16, F18-F19, F55), mood disorder (F30-F39), other mental health conditions (F04-F09, F51-F53, F59, F63, F68, F69, F930-F932, F99) and presence of chronic pain in a year prior to index date. For each patient included in the cohort, the M3 index (M3I) score and the American Society of Anesthesiologists (ASA) physical status classification system³⁵ will be used as a measure of comorbidity using information from any inpatient or outpatient contact the 5 years before the index date.³⁶ M3I score will be computed from a list of 61 health conditions based on the presence/absence of previous diagnostic codes (see online supplemental material 1 for the specific health conditions). We will use the ASA score on each patients' NMDS record at the time of surgery. Additionally, we will adjust for the year of cohort entry in multivariable models assessing the relationship between explanatory variables and outcomes of interest.

To assess concurrent medications use, we will examine patient's medications at baseline and in 6 months prior to the index date using the Pharms database. Concomitant medications that are potential predictors for persistent opioid use include non-opioid analgesia, including paracetamol, pregabalin, gabapentin, benzo-diazepines, antipsychotics, mood stabilisers and antide-pressants. Additionally, information on non-steroidal anti-inflammatories dispensing will be collected as these have been shown to predict persistent opioid use.^{30 37}

Data analysis

Descriptive statistics will be used to describe baseline characteristics and the outcomes of interest. Continuous variables will be described using the mean and SD or median and IQR as appropriate, depending on data distribution. Categorical variables will be described using frequency distributions and percentages. Kolmogorov-Smirnov and Shapiro-Wilk tests of normality will be used to assess the distribution of continuous variables. Parametric and non-parametric bivariate tests (eg, Student's t-test, Mann-Whitney U test or χ^2 test) will be used to compare the characteristics of individuals who may or may not exhibit persistent opioid use after surgery or trauma.

A multivariable logistic regression model will be used to examine the association between potential predictors and persistent opioid use after surgery/trauma. ORs with 95% CIs will be reported for each predictor. All predictor variables will be included simultaneously in the multivariable regression model. Multicollinearity between variables will be assessed using variance inflation factors and tolerance.

We will calculate the crude rate of each outcome of interest for both persistent and non-persistent opioid user groups. To compute the rates, we will divide the total number of outcome events by total follow-up time. These will be reported as cases per 1000 person-days with confidence intervals derived from a Poisson or negative binomial distribution as appropriate. Cox multivariate regression models will be used to estimate the risk of all-cause mortality, opioid-related harms and hospitalisation associated with persistent use of opioids in the 365 days after the index date for all patients. HRs and 95% CIs will be reported. The proportional hazards assumption of the Cox model will be tested using log-minus-log plots, and C-statistics will be used to identify the C-index of the multivariable Cox regression models. The Kaplan-Meier method will be used to summarise survival time for persistent and non-persistent opioid users. A two-sided p<0.05 will be considered statistically significant for all statistical tests. We will make appropriate corrections to allow for multiple statistical tests. We will undertake all analyses using the R statisticals software package (R V.4.0.2).

Subgroup analysis

We will repeat the primary analyses by stratifying across three age groups (<18, 18–44, 45–64 and \geq 65 years) to examine whether the strength of the predictors for

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persistent opioid use and secondary outcomes vary across age. We will also assess whether the findings of the primary analysis are consistent across subgroups defined according to sex, ethnicity (Māori vs non- Māori), different opioids, urgency of surgery (elective vs non-elective) or surgery complexity via operation severity (grades 1-5).

Sensitivity analysis

To assess the robustness of our primary analyses, we will conduct several sensitivity analyses. As noted, our primary analysis will include all patients in the cohort, regardless of whether they survived up to 90 days after the index date. In a sensitivity analysis, we will repeat the primary analyses after restricting the cohort to only those who survived at least 90 days after the index date. To explore the effect of our definition of opioid cessation, we will repeat the analysis using a pause in medication supply of 30 days or more instead of 45 days or more, as the definition of cessation of opioid use. Given the uncertainties related to the length of the look-back time window for opioid exposure, we will test our definition of opioidnaive by evaluating exposure with 365 instead of 180 days. Moreover, we will repeat the analysis by changing the definition of persistent opioid use to 61-365 days after the index date.

Missing value analysis

We will run Little's Missing Completely at Random (MCAR) test to assess whether missing values in the final datasets are MCAR.³⁸ If the data are MCAR, multiple imputations with chained equations will be conducted to impute missing values. We will use the 'mice' function in the R software package to perform multiple imputations and the subsequent analysis. We will perform up to five imputations to generate five datasets, and the average of the five values will replace each missing value in each of the five datasets.

Patient and public involvement

The study was presented at a Māori hui (gathering), Taia Te Hauora, to discuss study with Māori research advisors on study's Māori responsiveness. Our team includes MM (Ngāti Rangiwewehi, Rangitāne ki Manawatū) and the ethics approval process included consultation with a Māori research advisor external to our team. The consultation included information on how best to disseminate study results to Māori.

DISCUSSION

To our knowledge, this study will result in the most extensive collection of data over the longest period that examines the contribution of surgery or trauma to persistent opioid use and related adverse outcomes explicitly. As NZ has a unique mixture of ethnicities, including an indigenous Māori population, our study will have a particular focus in line with our obligations under the *Treaty of Waitangi* to assess outcomes related to Māori.¹⁶ The definition of persistent opioid use has varied in the literature. A recent systematic review found 29 definitions of persistent opioid use.³⁹ A 100-fold difference in the rate of persistent opioid has been reported due to the use of different definitions.³⁹ The review supports our interpretation of persistent opioid use. Continuous opioid use was shown to be a more accurate representation of persistent opioid use than single dispensing of opioids within a set period (90–180 days) and a better predictor of subsequent harm.³⁹

Our study's main limitation is its reliance on the information collected and coded in administrative datasets. Opioid consumption is extrapolated from pharmacy dispensing data, so medication consumption is not guaranteed. We will not have access to the indication for the continuation of opioid prescribing. Other limitations will include a follow-up period for secondary outcomes of only 365 days. We believe that 365 days would be an adequate time frame for events to occur related to opioid prescribed from the index event date. Although our study extends over a long time period, there have been relatively few changes to prescribing guidelines and opioid availability in NZ during this period. The only significant changes that have occurred were the introduction of oxycodone in 2005 and topical fentanyl patch in 2010.⁴⁰

We aim to capture the effect of introducing these two products to the NZ market and the effect on prescribing of other opioids, for example, morphine. We chose a start date of 1 January 2006 because data for pharmacy dispensing and hospital admissions are more reliable from this date.

We plan to use the M3I over other recognised comorbidity indices such as Charlson or Elixhauser due to its superior prognostic performance in the NZ population.³⁶ We will also use ASA for comorbidity as it is readily available on the NMDS, but we will assess which score performs the best. As our study is registry based, we will not have access to each patient's perioperative anaesthetic assessments for the most accurate ASA classification.

ETHICS AND DISSEMINATION Data storage, retention and access

The Research team will not, at any time receive identifying data for any individual in the cohort as the data obtained from the MOH will be deidentified via encrypted NHIs. Data will be stored in a password-protected folder on the University of Auckland server, managed by the School of Pharmacy's pharmacoepidemiology research group. Data to be stored up to 10 years by the University of Auckland according to the Health Information Privacy Act.⁴¹ Data governance will be as per the National Ethical Standards for Health and Disability Research 2019.⁴² Only the primary and coinvestigators will be allowed access to data, and data will be stored in a digital deidentified form.

Refer to data management plan in online supplemental material 1.

Dissemination

The findings of this research will be relevant to multiple groups of people, include hospital policy stakeholders, surgeons, anaesthetists, ED physicians and other clinicians who have an interest in acute pain management and subsequent complications. We plan to disseminate our findings at scientific conferences and in high impact peer-reviewed journals that are read by a wide range of users. We plan to have an end of study hui to engage with Māori health users to disseminate study results. As the study uses routinely collected health data, results will be reported per the Reporting of studies Conducted using Observational Routinely collected health Data statement (RECORD).⁴³

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